

Attorney's Docket No. 5051-551

PATENT

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Noga, et al.
Serial No.: 09/929,787
Filed: August 14, 2001
For: *ANTIMICROBIAL PEPTIDES ISOLATED FROM MAST CELLS*



July 23, 2002

Attention: Office of Petitions
BOX DAC
Commissioner for Patents
Washington, DC 20231

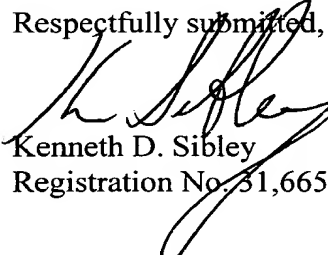
**SUBMITTAL OF DECLARATION
UNDER 37 C.F.R. § 1.63**

Sir:

In response to the Notice to File Missing Parts dated October 3, 2001, enclosed is a Declaration and Power of Attorney for the above-identified application which has been executed by the named inventors.

Please charge our Deposit Account No. 50-0220 in the amount of \$105.00 to cover the surcharge (\$65.00) under 37 C.F.R. § 1.16(e) and the recordation of enclosed Assignment (\$40.00).

Respectfully submitted,


Kenneth D. Sibley
Registration No. 31,665



20792

PATENT TRADEMARK OFFICE

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box DAC, Commissioner for Patents, Washington, DC 20231, on July 23, 2002.



Vickie Diane Prior

Date of Signature: July 23, 2002

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DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. 5051.551

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **ANTIMICROBIAL PEPTIDES ISOLATED FROM MAST CELLS**,

the specification of which

☐ is attached hereto

OR

☒ was filed on **August 14, 2001** as United States Application No. **09/929,787** or PCT

International Application Number and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

None			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed

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I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

60/225,354	08/15/2000
Application Number(s)	Filing Date (MM/DD/YYYY)
Application Number(s)	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application (37 C.F.R. § 1.63(d)).

None		
Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned
Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned
Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Customer Number 20792



20792

PATENT TRADEMARK OFFICE

Send correspondence to: Kenneth D. Sibley
Myers Bigel Sibley & Sajovec
Post Office Box 37428
Raleigh, North Carolina 27627

Direct telephone calls to: Kenneth D. Sibley
(919)854-1400

Facsimile: (919) 854-1401

Full name of first inventor: **Edward J. Noga**

Inventor's
Signature:  Date: 1/9/02

Residence: Raleigh, North Carolina


Citizenship: United States of America

Post Office Address: 730-301 Washington Street
Raleigh, North Carolina 27605

Full name of second inventor:

Umaporn Silphaduang

Inventor's

Signature: 

Date: 07/19/02

Residence:

Fuquay-Varina, North Carolina

Citizenship:

Thailand

Post Office Address:

3628 Air Park Road
Fuquay-Varina, North Carolina 27526

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ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To the Honorable Commissioner of Patents and Trademarks:

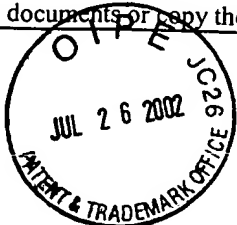
July 23, 2002

Please record the attached original documents or copy thereof.

Attorney Docket No. 5051-551

1. Name of conveying party(ies):

Edward J. Noga
Umaporn Silphaduang



2. Name and address of receiving party(ies):

North Carolina State University
2401 Research Drive
Campus Box 8210
Raleigh, North Carolina 27695-8210

COPY

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: July 19, 2002

Additional name(s) & address(es) attached? Yes ☒ No

4. Application Serial No. 09/929,787

Patent No.

If this document is being filed together with a new application, the execution date of the application is: _____

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer Number 20792
Kenneth D. Sibley
Myers Bigel Sibley & Sajovec
Post Office Box 37428
Raleigh, North Carolina 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth D. Sibley, Reg. 31,665
Name of Person Signing

Signature

July 23, 2002
Date

Total number of pages including cover sheet, attachments and document: 4

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ASSIGNMENT

THIS ASSIGNMENT, made by us, **Edward J. Noga**, a citizen of the United States of America, residing at 730-301 Washington Street, Raleigh, North Carolina 27605; and **Umaporn Silphaduang**, a citizen of Thailand, residing at 3628 Air Park Road, Fuquay-Varina, North Carolina 27526;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **ANTIMICROBIAL PEPTIDES ISOLATED FROM MAST CELLS** for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on August 14, 2001, under Serial No. 09/929,787, and

WHEREAS, **North Carolina State University**, a constituent institution of The University of North Carolina and an educational institution chartered under the laws of the State of North Carolina having a principal office at Campus Box 8210, City of Raleigh, County of Wake, State of North Carolina 27695-8210, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

COPY

 (SEAL)
UMAPORN SILPHADUANG

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